



Original Comic art
and Illustrations

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bid.roma@finarte.it

I, the undersigned First name and last name/Company _____
Client number (for internal use) _____
Address _____ Postal code _____
City _____ Stato _____
E-mail _____ Telefono _____
TAX Code – VAT Number _____

by signing this form, declare that I have read and fully accept the General Conditions of Sale delivered to me by Finarte S.p.A. and printed in the auction catalogue, published on Finarte Auctions S.r.l. ("Finarte") website and posted in the auction room, and

☐ **ABSENTEE BIDDING**

bid on my behalf at the above sale for the following
lot(s) up to the price(s) set out below;

☐ **TELEPHONE BIDDING**

contact me by telephone - at the telephone number indicated above - when
the following lot(s) will be offered for sale in order to be able to make one or
more telephone bids. Should Finarte be unable to contact me by telephone
or the line break down during the sale, Finarte may execute the covering
bid(s) listed below on my behalf.

➤ **Signature**

Date and time

Please attach here to a copy of a valid identity document.

PRIVACY POLICY
I have read and understood the information on the
processing of personal data, contained in Article 17 of the
General Terms and Conditions of Sale published in the
catalogue of Finarte S.p.A. and issued in accordance with
Article 13 of Regulation (EU) 2016/679 and

I give consent I do not give consent

all'invio di materiale pubblicitario e informativo su prodotti
e servizi di Finarte a mezzo di sistemi automatizzati, quali
e-mail, fax, sms o MMS, o a mezzo del servizio postale o di
chiamate telefoniche con operatore;

I give consent I do not give consent

to the processing of data for profiling activities aimed at
sending commercial communications on products and
services similar to those already purchased (so-called "soft
spam"), as well as for advertising and information material
on products and services of Finarte to be sent by means of
automated systems, such as e-mail, fax, SMS or MMS, or by
postal service or telephone calls with operator.

➤ **Signature**

Date

ANTI-MONEY LAUNDERING

Pursuant to and for the purposes of Legislative Decree
231/2007 containing, among other things, measures on
the prevention and combating of money laundering,
aware of the criminal and civil liabilities as well as of
the administrative effects deriving from making false or
incomplete declarations, I, the undersigned represent and
warrant that:

1. I am acting personally, or – if acting on behalf of third
parties – I am acting exclusively in the name and on behalf
of the person indicated in the power of attorney supplied
to Finarte S.p.A.;
2. that the funds that I will use in the event of an award are
not of an illegal origin;
3. if the undersigned is a legal entity, the beneficial owner is

Name and Surname _____

TAX Code _____

born in _____ of _____

residing _____

(If there is more than one beneficial owner, please inform
Finarte so that it can provide you with a form with more
boxes.)

4. neither the undersigned nor the beneficial owner of the
undersigned is a Politically Exposed Person, or a family
member of a Politically Exposed Person, or a person known
to be close associate with a Politically Exposed Person.

➤ **Signature**

Date

Notice to bidders

Bid should be submitted at least **24 hours before the auction**. Our office will confirm all the offers received; in case you shouldn't
receive confirmation of reception within the following day, please contact us.
Corporate clients should provide a copy of their articles of association together with a letter of authority authorizing them to bid on
the company's behalf. Failure to provide said documentation may result in your bids not being processed. For high value lots you may

**I, the undersigned, declare to specifically approve, pursuant to Article 1341 of the Italian Civil Code, the following clauses
of the General Terms and Conditions of Sale: 2.6 - 2.7 - 4.1.6 - 4.1.12 - 4.1.13 - 4.2.5 - 4.3.5 - 4.3.8 - 4.4.6 - 4.4.7 - 4.4.8 - 4.5.6.
- 4.5.7 - 5.6 - 6 - 7.11 - 7.12 - 7.13 - 7.14 - 8.6 - 9.1. - 9.2 - 9.3 - 9.4 - 10.3 - 16.**

➤ **Signature**

Date

Finarte Auctions S.r.l. Via Quattro Novembre, 114 | 00187 - Roma | Tel.: +39 06 6791107 | Email: bid.roma@finarte.it

1) Pursuant to Article 20, paragraphs 1 to 5, of Legislative Decree 231/2007, the criteria for determining the beneficial ownership of clients other than natural persons are as follows: "1. For clients other than natural persons the beneficial owner is the natural person or persons to whom direct or indirect ownership or control of the entity is ultimately attributable. 2. If the client is a joint-stock company: a) the ownership of more than 25 per cent of the client's capital, held by a natural person, is considered as direct ownership; b) the ownership of more than 25 per cent of the client's capital, held through subsidiaries, trust companies or third parties, is considered as indirect ownership. 3. In cases where an examination of the ownership structure does not allow the unambiguous identification of the natural person or persons to whom the direct or indirect ownership of the entity is attributable, the beneficial owner is the natural person or persons to whom the control of the entity is ultimately attributable by virtue of: a) control of the majority of the votes exercisable in ordinary shareholders' meetings; b) control of votes sufficient to exercise a dominant influence in ordinary shareholders' meetings; c) the existence of particular contractual obligations that allow the exercise of a dominant influence. 4. In the event that the client is a private legal entity, as per Presidential Decree No. 361 of 10 February 2000, the following are cumulatively identified as beneficial owners: a) the founders, if alive; b) the beneficiaries, if identified or easily identifiable; c) the holders of management and administration functions. 5. Where the application of the criteria set out in the preceding paragraphs does not enable one or more beneficial owners to be clearly identified, the beneficial owner(s) shall correspond to the natural person(s) holding, in accordance with their respective organisational or statutory structures, legal representation, administration or management powers of the company or client in any case other than the natural person". 2) Pursuant to Article 1, lett. dd), paragraph 2, of Legislative Decree 231/2007: "A) POLITICALLY EXPOSED PERSONS: are natural persons who hold office or have ceased to hold office for less than one year as: (a) President of the Republic, Prime Minister, Minister, Deputy Minister and Undersecretary, President of the Region, Regional Minister, Mayor of a provincial capital or metropolitan city, Mayor of a municipality with a population of not less than 15,000 inhabitants and similar offices in foreign States; (b) Member of Parliament, Senator, Member of the European Parliament, Regional Councilor and similar offices in foreign States; (c) Member of the central governing bodies of political parties; (d) Judge of the Constitutional Court, magistrate of the Court of Cassation or the Court of Auditors, State Councilor and other members of the Administrative Council of Justice for the Region of Sicily and similar offices in foreign States; (e) Member of the governing bodies of central banks and independent authorities; (f) Ambassador, Chargé d'Affaires or equivalent offices in foreign States, senior officer in the armed forces or equivalent offices in foreign States; (g) member of the administrative, management or control bodies of companies controlled, even indirectly, by the Italian State or by a foreign State or companies in which the Regions, provincial capitals and metropolitan cities and municipalities with a total population of not less than 15,000 inhabitants hold a majority or total interest; (h) general manager of ASL (Local Health Authorities) and of a hospital, university hospital and other bodies of the national health service; (i) director, deputy director and member of the management body or person performing equivalent functions in international organizations; B) FAMILY MEMBERS: are (a) parents and spouse; (b) the person bound to the politically exposed person in a civil partnership or de facto cohabitation or similar institutions; (c) children and their spouses; (d) persons bound to children in a civil partnership or de facto cohabitation or similar institutions; C) PERSONS KNOWN TO BE CLOSE ASSOCIATES WITH A POLITICALLY EXPOSED PERSON: are (a) natural persons who, under this Decree, hold jointly with politically exposed person the beneficial ownership of legal entities, trusts and similar legal institutions or entertain close business relationships with the politically exposed person; (b) natural persons who hold only formally the total control of an entity known to have been incorporated, de facto, in the interest and for the benefit of a politically exposed person".

Pursuant to Article 20, paragraphs 1 to 5, of Legislative Decree n° 157/2001, the criteria for determining the beneficial ownership of clients other than natural persons are as follows: "1. For clients other than natural persons the beneficial owner is the natural person or persons to whom direct or indirect ownership or control of the entity is ultimately attributable. 2. If the client is a joint-stock company: a) the ownership of more than 25 per cent of the client's capital, held by a natural person, is considered as direct ownership; b) the ownership of more than 25 per cent of the client's capital, held through subsidiaries, trust companies or third parties, is considered as indirect ownership. 3. In cases where an examination of the ownership structure does not allow the unambiguous identification of the natural person or persons to whom the direct or indirect ownership of the entity is attributable, the beneficial owner is the natural person or persons to whom the control of the entity is ultimately attributable by virtue of: a) control of the majority of the votes exercisable in ordinary shareholders' meetings; b) control of the majority of the votes exercisable in extraordinary shareholders' meetings; c) control of the majority of the votes exercisable in the board of directors. 4. In the absence of the above, the beneficial owner is the natural person or persons who exercise decisive influence over the entity. 5. From 1 February 2000, the following are cumulatively identified as beneficial owners: a) the founders, if alive; b) by the beneficiaries, if identified or easily identifiable; c) the holders of management and administration functions. 5. Where the application of the criteria set out in the preceding paragraphs does not enable one or more beneficial owners to be clearly identified, the beneficial owner(s) shall correspond to the natural person(s) holding, in accordance with their respective organisational or statutory structures, legal representation, administration or management powers of the company or client in any case other than the natural person".

6. **POLITICALLY EXPOSED PERSONS:** are natural persons who hold office or have ceased to hold office for less than one year as: a) President of the Republic, Prime Minister, Deputy Minister and Undersecretary, President of the Region, Regional Minister, Mayor of a municipality with a population of not less than 15,000 inhabitants and similar offices in foreign States; b) Member of Parliament, Senator, Member of the European Parliament, Regional Councilor and similar offices in foreign States; c) Member of the central governing bodies of political parties; d) Judge of the Constitutional Court, magistrate of the Court of Cassation or the Court of Auditors, State Councilor and other members of the Administrative Council of Justice for the Region of Sicily and similar offices in foreign States; e) Member of the governing bodies of central banks and independent authorities; f) Ambassador, Chargé d'Affaires or equivalent offices in foreign States, senior officer in the armed forces and other senior officials of the Ministry of Defense and similar offices in foreign States; g) Member of the management body of a public or private institution or body of the State or of a public or private institution or body with a total population of not less than 15,000 inhabitants held a majority or total interest; h) general manager of ASL (Local Health Authorities) and of a hospital, university hospital and other bodies of the national health service; i) director, deputy director and member of the management body or person performing equivalent functions in international organizations; b) **FAMILY MEMBERS:** are a) parents and spouse; b) the person bound to the politically exposed person in a civil partnership or de facto cohabitation or similar institutions; c) children and their spouses; d) persons bound to children in a civil partnership or de facto cohabitation or similar institutions; c) **PERSONS KNOWN TO BE CLOSE ASSOCIATES WITH A POLITICALLY EXPOSED PERSON:** are a) natural persons who, under this Decree, held jointly with politically exposed persons, a majority or total interest in a company, institution or body, legal institutions or entertain close business relationships with the politically exposed person; b) natural persons who, under this Decree, held jointly with politically exposed persons, de facto (i.e. in interest and for the benefit of a politically exposed person).