

I, the undersigned First name and last name/Company

Address	Postal code
City	Stato
E-mail	Telefono

by signing this form, declare that I have read and fully accept the General Conditions of Sale delivered to me by Finarte S.p.A. and printed in the auction catalogue, published on Finarte Auctions S.r.l. ("Finarte") website and posted in the auction room, and

AUCTION N°259 | 2024

30 October 2024 bid.roma@finarte.it

NUMISMATICS

ABSENTEE BIDDING

lot(s) up to the price(s) set out below;

bid on my behalf at the above sale for the following

authorize Finarte to:

#### □ TELEPHONE BIDDING

contact me by telephone - at the telephone number indicated above - when the following lot(s) will be offered for sale in order to be able to make one or more telephone bids. Should Finarte be unable to contact me by telephone or the line break down during the sale, Finarte may execute the covering bid(s) listed below on my behalf.

**Date and time** 

#### > Signature

Please attach here to a copy of a valid identity document.

LOT	ITEM	ABSENTEE BID (BP EXCLUDED)	COVERING BID* (TELEPHONE BIDDING)
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€

#### Please send via email to bid.roma@finarte.it

#### Notice to bidders

Bid should be submitted at least **24 hours before the auction.** Our office will confirm all the offers received; in case you shouldn't receive confirmation of reception within the following day, please contact us.

Corporate clients should provide a copy of their articles of association together with a letter of authority authorizing them to bid on the company's behalf. Failure to provide said documentation may result in your bids not being processed. For high value lots you may be asked to provide a bank reference.

I, the undersigned, declare to specifically approve, pursuant to Article 1341 of the Italian Civil Code, the following clauses of the General Terms and Conditions of Sale: 2.6 - 2.7 - 4.1.6 - 4.1.12 - 4.1.13 - 4.2.5 - 4.3.5 - 4.3.8 - 4.4.6 - 4.4.7 - 4.4.8 - 4.5.6 - 4.5.7 - 5.6 - 6 - 7.11 - 7.12 - 7.13 - 7.14 - 8.6 - 9.1. - 9.2 - 9.3 - 9.4 - 10.3 - 16.

Date

Signature

### Finarte Auctions S.r.l. Via Quattro Novembre 114 | 00187 - Roma | Tel.: +39 06 6791107 | Email: bid.roma@finarte.it

1) Pursuant to Article 20, paragraphs 1 to 5, of Legislative Decree 231/2007, the criteria for determining the beneficial ownership of clients other than natural persons are as follows: "1. For clients other than natural persons the beneficial ownership of more than 25 per cent of the client's capital, held by a natural person, is considered as indirect ownership, of more than 25 per cent of the client's capital, held by a natural person, is considered as indirect ownership of the entity is utilizable, the beneficial ownership of the entity is attributed by invite of 3. Quority of the voice scale client of the entity is utilizable, the beneficial ownership of the entity is entity destributed by invite of 3. Quority of the voice scale client of the entity is utilizable by the interval person or persons to whom the cortrol of the entity is utilizable by the interval of the entity is attributed by invite of 3. Quority of the voice scale client is a private legal entity, as private of a dominant influence. A in the event that the client is a private legal entity, as per Presidential Decree No. 351 of 10 February 2000, the holds of the or paragraphs of the entity is attributed by invite of 3. Quority structures, legal representation, administration functions. S. Where the application of the Republic, Prime Minister, M

### Internal operator

#### PRIVACY POLICY

I have read and understood the information on the processing of personal data, contained in Article 17 of the General Terms and Conditions of Sale published in the catalogue of Finarte S.p.A. and issued in accordance with Article 13 of Regulation (EU) 2016/679 and

#### i give consent I do not give consent

to the sending of advertising and informative material about Finarte's products and services by automated systems, such as e-mail, fax, SMS or MMS, or by postal service or telephone calls with operator

#### i give consent I do not give consent

to the processing of data for profiling activities aimed at sending commercial communications on products and services similar to those already purchased (so-called "soft spam"), as well as for advertising and information material on products and services of Finarte to be sent by means of automated systems, such as e-mail, fax, SMS or MMS, or by postal service or telephone calls with operator.

#### > Signature

Date

#### ANTI-MONEY LAUNDERING

Pursuant to and for the purposes of Legislative Decree 231/2007 containing, among other things, measures on the prevention and combating of money laundering, aware of the criminal and civil liabilities as well as of the administrative effects deriving from making false or incomplete declarations, I, the undersigned represent and warrant that:

 I am acting personally, or – if acting on behalf of third parties – I am acting exclusively in the name and on behalf of the person indicated in the power of attorney supplied to Finarte S.p.A.;

2. that the funds that I will use in the event of an award are not of an illegal origin;

3. if the undersigned is a legal entity, the beneficial owner is

Name and Sumame		
TAX Code		
born in	of	
residing		

(If there is more than one beneficial owner, please inform Finarte so that it can provide you with a form with more boxes.)

**4.** neither the undersigned nor the beneficial owner of the undersigned is a Politically Exposed Person, or a family member of a Politically Exposed Person, or a person known to be close associate with a Politically Exposed Person.

> Signature

Date



### Client identification form pursuant to Italian Legislative Decree No. 231/2007 | Natural person

(to be completed by the client or by the representative, if any, following the various steps)

Pursuant to Italian Legislative Decree No. 231 of 21 November 2007 (hereinafter "Legislative Decree 231/2007"), providing for – *inter alia* – measures to prevent and combat money laundering, Finarte Auctions S.r.l. is required to collect the following information concerning the identity of each client<sup>1</sup> (hereinafter "*Client*"), representative/proxy<sup>2</sup> (*"esecutore"* in Italian, hereinafter "*Representative"*) and beneficial owner<sup>3</sup> (hereinafter "*Beneficial Owner"*), also with reference to the status, if any, of politically exposed person (hereinafter "*Politically Exposed Person*"). Completion instructions in grey and *italics*.

STEP 1	Client's identification details
Full name	
Tax identification number	
Place and date of birth	
Employment status	employee* self-employed* freelance* retired unemployed other (specify)
(for cases marked with *) Country where the business is mainly carried out	
Residence and current address (if different from residence): (enter full address details including postcode)	
Identity document (please attach a copy and specify the kind of document, issuing authority, document number, place and date of issue, expiry date)	
This form has been filled in by:	

- A. the Client (go to STEP 3)
- **B.** a Representative (please fill STEP 2)



### **Representative form**

Full name		
Tax identification number		
Place and date of birth		
Employment status	employee* self-employed* freelance* entrepreneur retired unemployed other (specify)	
(please enter full address details) Residence and current address (if different from residence)		
Identity document ( <u>please attach a copy</u> and specify kind of document, issuing authority, docu- ment number, place and date of issue, expiry date)		
Title granting the power to represent the Client		
Politically exposed person: (p	Si No lease answer yes or no and, if applicable, request and complete the supplementary form for PEPs).	
STEP 3 Is the Client also the Beneficial Owner		
<b>A.1 Yes</b> (select an option between A.1.1 and A.1.2)	<b>A.2No</b> (please fill in the form below, specifying the Beneficial Owner other than the Client. In case of multiple Beneficial Owners, please ask for an additional form. Then go to STEP 4)	
<b>A.1.1 there are other Benefic</b> <b>Owners</b> (go directly to STEP 4)	<b>A.1.2 there are no Beneficial Owners other than the Client</b> (please fill in the form below, specifying the second Beneficial Owner other than the Client. In case of multiple Beneficial Owners, please ask for an additional form. Then go to STEP 4	



### **Beneficial Owner's identification details**

### Full name

Tax identification number

Place and date of birth

# Residence and current address (if different from residence)

(please enter full address details including postcode)

# Details of the relationship between the Beneficial Owner/s

and the Client (for example, in case of natural persons, family/friendship/ work relationships; in case of legal entities, corporate relationships)

### Identity document

(please attach a copy and specify kind of document, issuing authority, document number, place and date of issue, expiry date)

# **STEP 4**

### Nature of the transaction

Please provide the following additional information (Then, go to STEP 5)

What is the scope and nature of the transaction?	mandate to sell
	purchase mandate
	purchase
	consulting
	other (specify)

The transaction is carried out for purposes of a personal or commercial nature?

Personal reasons	
Commercial reaso	ns
other (specify)	

## **STEP 5**

### Politically Exposed Persons<sup>6</sup>

Are the Client, the Representative and/or the Beneficial Owner/s politically exposed persons?

<b>A. Yes</b> (please specify who is the Politically Exposed Person among those listed)	<b>A.2 No</b> (go to STEP 6)
r erson among those listed)	



Identification of the PEP (please specify who is the Politically Exposed Person among those listed)	client representative beneficial owner
Position of the PEP (please specify the position leading to PEP status, e.g. minister, member of parliament, senator, mayor, etc.)	
Origin of the goods covered by the mandate to sell or of the funds used to pay for the service	Family asset/s Asset/s whose ownership has been acquired
	employment income
	personal savings
	inheritance
	donation
	financing
	other (please specify)

I, the undersigned, hereby declare that the information provided in this form is complete and truthful and undertake to notify any changes in such information without delay, either by email or registered letter with return receipt.

Place and date

Legible signature



<sup>1</sup> Pursuant to Article 1, paragraph 2, f) of Legislative Decree 231/2007 **a client is**. "the party who establishes ongoing relationships, performs transactions, or requests or receives a professional service following assignment of an appointment". This term must also be considered as applicable, by extension, to the parties in whose name and on whose behalf the transaction is requested. 2 Pursuant to Article 1, paragraph 2, p) of Legislative Decree **a representative is**: "the party authorised to act in the name and on behalf of the client or who is in any case granted powers of representation that allow him/

<sup>2</sup> Pursuant to Article 1, paragraph 2, p) of Legislative Decree a representative is: "the party authorised to act in the name and on behalf of the client or who is in any case granted powers of representation that allow him/ her to act in the name and on behalf of the client".

<sup>3</sup> Pursuant to Article 1, paragraph 2, pp) of Legislative Decree 231/2007 **a beneficial owner is**: "the natural person or natural persons, other than the client, in whose interest the ongoing relationship is ultimately established, the professional service is rendered, or the transaction is performed". 4 Pursuant to Article 1, paragraph 2, dd) of Legislative Decree 231/2007, politically exposed persons are: "natural persons who hold, or have ceased to hold, important public offices for less than a year, as well as their

<sup>4</sup> Pursuant to Antote 1, paragraph 2, do) of Legislative Decree 231/2007, politically exposed persons are: "natural persons who hold, or have ceased to hold, important public offices such as year, as well as their family members and those who have well known close connections with the aforesaid parties, as listed below: 1) natural persons who hold or have held important public offices such as: 1.1 in Italy, president of the republic, prime minister, deputy minister and undersceretary, chair of a regional council, regional councillor, mayor of a tity designated as the main city of a province or metropolitan city, mayor of a town with a population of at least 15,000 inhabitants; similar offices abroad; 1.2 in Italy, deputy, senator, member of the European parliament, regional councillor; similar positions abroad; 1.3 member of central governing bodies of political parties; 1.4 in Italy, constitutional court judge, magistrate of the Court of Audit, state councillor, and other members of the Council of Administrative Justice for the Sicilian Region; similar offices abroad; 1.5 member of the governing, management, or supervisory bodies of companies controlled (directly or indirectly) by the Italian State or by a foreign State or whose equity is held, mainly or wholly, by regional councils, the councils of cites designated as the main city of a province and metropolitan cities, the councils of tows with a population of at least 15,000 inhabitants; 1.8 director general of a local branch of the Italian health service or a hospital, university hospital, or other national health service entities. 1.9 director, deputy director, or member of the managing body, or persons with equivalent positions in international organisations; 2) family members of the aforesaid politically exposed persons, end and their spouse or partner (by civil union or de facto cohabitation or similar institutions;) aparties with whom the aforesaid politically exposed persons, i.e. 3.1. natural persons who, pursuant to this decree, are beneficial owner



### Client identification form pursuant to Italian Legislative Decree No. 231/2007 | Legal entity

(to be completed by the client or by the representative, if any, following the various steps)

Pursuant to Italian Legislative Decree No. 231 of 21 November 2007 (hereinafter "Legislative Decree 231/2007"), providing for – *inter alia* – measures to prevent and combat money laundering, Finarte Auctions S.r.l. is required to collect the following information concerning the identity of each client<sup>1</sup> (hereinafter "*Client*"), representative/proxy<sup>2</sup> (*"esecutore"* in Italian, hereinafter "*Representative"*) and beneficial owner<sup>3</sup> (hereinafter "*Beneficial Owner"*), also with reference to the status, if any, of politically exposed person (hereinafter "*Politically Exposed Person*"). Completion instructions in grey and *italics*.

STEP 1	Client's identification details
Name	
Registered office	
Tax identification number / VAT number	
Business	
Country where the business is mainly carried out	
	Represented by:
Full name	
Representation powers	
Tax identification number	
Place and date of birth	
Position	
Residence and current address (if different from residence):	
(please enter full address details, including postcode)	
Identity document (please attach a copy and specify kind of document, issuing authority, document number, place and date of issue, expiry date)	
Chi sta compilando il presente mo	dulo identificativo:

A. the Client (go directly to STEP 3)

**B.** a Representative (please fill the Representative form)



Full name		
Tax identification number		
Place and date of birth		
	ployee *	
	f-employed * elance *	
	repreneur	
reti		
	employed	
	er (specify)	
(please enter full address details) Residence and current address (if different from residence)		
Identity document ( <u>please attach a copy</u> and specify kind of document, issuing authority, docu- ment number, place and date of issue, expiry date)		
Title granting the power to represent the Client		
Politically exposed person: Si (please ar	No swer yes or no and, if applicable, request and complete the supplementary form for PEPs)	
<b>STEP 3</b> Is the Client also the Beneficial Owner <sup>57</sup>		
A.1 Yes	<b>A.2</b> No	
(select an option between A.1.1 and A.1.2)	(please fill in the form below, specifying the Beneficial Owner other than the Client. In case of multiple Beneficial Owners, please ask for an additional form. Then go to STEP 4)	
<b>A.1.1</b> there are other Beneficial	<b>A.1.2</b> there are no Beneficial Owners other than the Client	
<b>Owners</b> (go directly to STEP 4)	(please fill in the form below, specifying the second Beneficial Owner other than the Client. In case of multiple Beneficial Owners, please ask for an additional form. Then go to STEP 4	



### **Beneficial Owner's identification details**

### Full name

Tax identification number

Place and date of birth

# Residence and current address (if different from residence)

(please enter full address details including postcode)

# Details of the relationship between the Beneficial Owner/s

and the Client (for example, in case of natural persons, family/friendship/ work relationships; in case of legal entities, corporate relationships)

### Identity document

(please attach a copy and specify kind of document, issuing authority, document number, place and date of issue, expiry date)

# **STEP 4**

### Nature of the transaction

Please provide the following additional information (Then, go to STEP 5)

What is the scope and nature of the transaction?	mandate to sell
	purchase mandate
	purchase
	consulting
	other (specify)

The transaction is carried out for purposes of a personal or commercial nature?

Personal reasons	
Commercial reaso	ns
other (specify)	

## **STEP 5**

### Politically Exposed Persons<sup>6</sup>

Are the Client, the Representative and/or the Beneficial Owner/s politically exposed persons?

<b>A. Yes</b> (please specify who is the Politically Exposed Person among those listed)	<b>A.2 No</b> (go to STEP 6)
r erson among those listed)	



Identification of the PEP (please specify who is the Politically Exposed Person among those listed)	client representative beneficial owner
Position of the PEP (please specify the position leading to PEP status, e.g. minister, member of parliament, senator, mayor, etc.)	
Origin of the goods covered by the mandate to sell or of the funds used to pay for the service	Family asset/s Asset/s whose ownership has been acquired
	employment income personal savings inheritance donation financing other (please specify)

I, the undersigned, hereby declare that the information provided in this form is complete and truthful and undertake to notify any changes in such information without delay, either by email or registered letter with return receipt.

Place and date

Legible signature

<sup>5</sup> If the Client is a corporation (e.g. a joint-stock company "S.p.A." or a limited liability company "S.r.l."), ownership is indicated by: a) the ownership by a natural person of a stake of more than 25% of the Client's capital. (direct ownership), b) the ownership) of a stake of more than 25% of the Client's capital, held through subsidiaries, trust companies or intermediaries (indirect ownership). If it is not possible to identify one or more natural persons according to the above indications, the natural person/s who: a) has/have control of the majority of the votes that can be exercised in ordinary shareholders' meetings; b) is/are in a position to exercise a dominant influence: i) by having control of sufficient votes in ordinary shareholders' meetings; ii) by virtue of specific contractual constraints (such as particular by-laws, shareholders' agreements) shall be deemed to be the Beneficial Owner. If the Client is a different private legal entity (partnerships, associations, foundations, trusts ...), the shareholders' field owners in accordance with the foregoing, the natural person/s holding, in accordance with their respective organisational or statutory structures, the powers of legal representation, administration or management of the company (at the head of the Client's chain of control) or of the Client's chain of control or sufficient votes in control or sufficient votes in accordance with their respective organisational or statutory structures, the powers of legal representation, administration or management of the company (at the head of the Client's chain of control) or of the Client's chain of



<sup>1</sup> Pursuant to Article 1, paragraph 2, f) of Legislative Decree 231/2007 **a client is**. "the party who establishes ongoing relationships, performs transactions, or requests or receives a professional service following assignment of an appointment". This term must also be considered as applicable, by extension, to the parties in whose name and on whose behalf the transaction is requested. 2 Pursuant to Article 1, paragraph 2, p) of Legislative Decree **a representative is**: "the party authorised to act in the name and on behalf of the client or who is in any case granted powers of representation that allow him/

<sup>2</sup> Pursuant to Article 1, paragraph 2, p) of Legislative Decree a representative is: "the party authorised to act in the name and on behalf of the client or who is in any case granted powers of representation that allow him, her to act in the name and on behalf of the client".

<sup>3</sup> Pursuant to Article 1, paragraph 2, pp) of Legislative Decree 231/2007 **a beneficial owner is**: "the natural person or natural persons, other than the client, in whose interest the ongoing relationship is ultimately established, the professional service is rendered, or the transaction is performed". 4 Pursuant to Article 1, paragraph 2, dd) of Legislative Decree 231/2007, politically exposed persons are: "natural persons who hold, or have ceased to hold, important public offices for less than a year, as well as their

<sup>4</sup> Pursuant to Anticle 1, paragraph 2, doj of Legislative Decree 231/2007, politically exposed persons are: "natural persons who hold, or have ceased to hold, important public offices for less than a year, as well as their family members and those who have well known close connections with the aforesaid parties, as listed below: 1) natural persons who hold or have held important public offices such as: 1.1 in taly, president of the republic, prime minister, deputy minister and undersceretary, chair of a regional council, regional councillor, mayor of a tity designated as the main city of a province or metropolitan city, mayor of a town with a population of at least 15,000 inhabitants; similar offices abroad; 1.2 in Italy, deputy, senator, member of the European parliament, regional councillor; similar positions abroad; 1.3 member of central governing bodies of political parties; 1.4 in Italy, constitutional court judge, magistrate of the Court of Audit, state councillor, and other members of the Courcil of Administrative Justice for the Sicilian Region; similar offices abroad; 1.5 member of the governing, management, or supervisory bodies of companies controlled (lifectly or indirectly) by the Italian State or by a foreign State or whose equity is held, mainly or wholly, by regional councils, the councils of cites designated as the main city of a province and metropolitan cities, the councils of tows with a population of at least 15,000 inhabitants; 1.8 director general of a local branch of the Italian health service or a hospital, university hospital, or other national health service entities. 1.9 director, deputy director, or member of the managing body, or persons with equivalent positions in international organisations; 2) family members of the aforesaid politically exposed person, childer and their spouse or partner (by civil union or de facto cohabitation or similar institutions); 3) parties with whom the aforesaid politically exposed persons we well known close connections, i.e. 3.1. natural persons who,